



Case	Relief Req.	Award	Issues	Claimant Respondent	Party	Attorney Name	Affiliation
Customer							
72 168 Y	\$493,798,298	\$0	<ul style="list-style-type: none"> • The causes of action relate to losses incurred in connection with a series of enhanced equity monetization securities transactions, and also an overconcentration in tech stocks. • Breach of Fiduciary Duty • Elder Abuse • Fraud • Margin • Negligence • Overconcentration • Spoilation of Evidence • Unsuitability 	Kosti Shirvanian and Marian Shirvanian, individually and as Trustees of the Kosti Shirvanian & Marian Shirvanian Living Trust	C	Montgomery G. Griffin, Esq.	Law Offices of Montgomery G. Griffin
00005 04	1 \$293,798,298	1 \$0				Theodore Avery, Esq.	Law Offices of Montgomery G. Griffin
	2 \$200,000,000	2 \$0				James A. McQueen, Esq.	McQueen & Ashman LLP
Kosti Shirvanian and Marian Shirvanian, individually and as Trustees of the Kosti Shirvanian & Marian Shirvanian Living Trust v. Citigroup Global Markets, Inc. (f/k/a Salomon Smith Barney)				Citigroup Global Markets, Inc. (f/k/a Salomon Smith Barney)	R	Terry Ross, Esq.	Keesal, Young & Logan
Los Angeles, California						Michele Underwood, Esq.	Keesal, Young & Logan
10/26/2017						Stacey M. Garrett, Esq.	Keesal, Young & Logan
Alan Stamm		N					
John K. Hart		N					
John Zebrowski		N					
<p>*All claims against Respondent Citigroup were denied. Claimants' request of \$97,932,766 in compensatory damages was trebled to \$293,798,298, based on the elder abuse claim. The parties agreed to mediate the fees and costs dispute only which resulted in a non-confidential settlement in which Claimants paid Respondent Citigroup the sum of \$2,000,000 in attorneys fees and costs. Additionally, Claimants bore their own fees and costs, as well as ½ the almost \$950,000 in AAA forum fees. Claimants also waived further proceedings. This was a AAA arbitration.</p>							

Arbitrators

Damages

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Parties

C = Chairperson
P = Public
I = Industry

1 = Compensatory
2 = Punitive
3 = Costs/Other
4 = Interest
5 = Attorney Fees
6 = Respondents Costs/Other
7 = Respondent Attorney Fees
8 = Rescission

D = Dismissed by Panel
WD = Dismissed by Claimant

* Other considerations, review full award document.