KEESAL YOUNG & LOGAN

William McC. Montgomery

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Practice Areas

- Securities

William McC. Montgomery

Mac Montgomery graduated from University of California, Santa Barbara with a B.A. in psychology in 1973. He received his J.D. from John Marshall Law School in Chicago, Illinois and joined the Cook County State's Attorney's Office in 1977 as an Assistant State's Attorney. He was subsequently promoted to Deputy Chief of Narcotics and Dangerous Drugs. Mr. Montgomery tried over 35 jury trials to verdict successfully in his capacity there and hundreds of bench trials and motions. In addition, he wrote and argued numerous briefs before the Illinois Appellate Court. In 1984, Mr Montgomery joined the Commodity Futures Trading Commission as a Trial Attorney in the Division of Enforcement and worked on many matters involving violations of the Commodity Exchange Act, bringing enforcement actions before the CFTC and several injunctive matters in Federal District Court in Texas and Colorado.

In 1988, he joined International Trading Group as General Counsel in charge of litigation and defended ITG and it's brokers and supervisors before CFTC Administrative Law Judges and National Futures Association arbitration panels, trying numerous futures matters to award or final decision.

In 1990, Mr. Montgomery joined PaineWebber Inc. as Senior Associate General Counsel managing its Los Angeles and West Coast Legal Group, having supervisory responsibilities for all litigation in the Western United States. In that capacity for 20 years, he handled hundreds of legal and compliance matters, often appearing before NASD and later FINRA arbitration panels and California Superior Court. He also served in an advisory capacity to the various business units at PaineWebber and after its merger with UBS in 2000. He has appeared on speaking panels at SIFMA, FINRA and the Practicing Law Institute and has served as an NYSE/NASD/FINRA arbitrator for many years. Mr. Montgomery has been licensed in Illinois since 1977 and California since 1989.

Mr. Montgomery joined Keesal, Young & Logan July 1, 2010 as Of Counsel and is handling numerous matters involving securities arbitrations, litigation and regulatory matters.